

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

NOTICE OF ALLOWANCE AND FEE(S) DUE

29175

7590

08/13/2002

BELL, BOYD & LLOYD, LLC P. O. BOX 1135 CHICAGO, IL 60690-1135 EXAMINER

VU, THONG H

ART UNIT CLASS-SUBCLASS

2152

709-206000

DATE MAILED: 08/13/2002

| 1 | 400110. TO 1100 | | | | |
|---|-----------------|-------------|----------------------|---------------------|------------------|
| | APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| | 08/992,770 | 12/17/1997 | KAZUHIKO HACHIYA | SONY-6900 | 4200 |

TITLE OF INVENTION: METHOD AND APPARATUS FOR AUTOMATIC SENDING OF E-MAIL AND AUTOMATIC SENDING CONTROL PROGRAM SUPPLYING MEDIUM

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO | · \$1280 | \$0 | \$1280 | 11/13/2002 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED, THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above. If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status.

A. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

B. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

If the SMALL ENTITY is shown as NO:

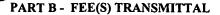
- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- Applicant claims SMALL ENTITY status.
 See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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Complete and send this form, together with applicable fee(s), to: Mail Box ISSUE FEE

Commissioner for Patents Washington, D.C. 20231

(703)746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1) Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 08/13/2002 BELL, BOYD & LLOYD, LLC P.O. BOX 1135 Certificate of Mailing or Transmission CHICAGO, IL 60690-1135 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below. (Depositor's nam (Signature) (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 08/992,770 12/17/1997 KAZUHIKO HACHIYA SONY-6900 4200 TITLE OF INVENTION: METHOD AND APPARATUS FOR AUTOMATIC SENDING OF E-MAIL AND AUTOMATIC SENDING CONTROL PROGRAM SUPPLYING MEDIUM APPLN. TYPE SMALL ENTITY ISSUE FFF PUBLICATION FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1280 \$0 \$1280 11/13/2002 **EXAMINER** ART UNIT CLASS-SUBCLASS VU, THONG H 2152 709-206000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. single firm (having as a member a registered attorney or agent) and the names of up to 2 O "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer registered patent attorneys or agents. If no name is listed, no name will be printed. Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent) ☐ individual ☐ corporation or other private group entity ☐ government 4a. The following fee(s) are enclosed: 4b. Payment of Fee(s): A check in the amount of the fee(s) is enclosed. ☐ Issue Fee Payment by credit card. Form PTO-2038 is attached. ☐ Publication Fee ☐ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number ______(enclose an extra copy of this form). ☐ Advance Order - # of Copies Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. (Authorized Signature) (Date)

NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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| APPLICATION NO. | FI | LING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|--------|------------|----------------------|-------------------------|------------------|
| 08/992,770 | 1 | 12/17/1997 | KAZUHIKO HACHIYA | SONY-6900 | 4200 |
| 29175 7590 08/13/2002 | | 08/13/2002 | | EXAMINER | |
| BELL, BOYD & LLOYD, LLC P. O. BOX 1135 CHICAGO, IL 60690-1135 | | | | VU, THONG H | |
| | | | ART UNIT | PAPER NUMBER | |
| UNITED STAT | STATES | | | 2152 | |
| | | | | DATE MAILED: 08/13/2002 | |

Determination of Patent Term Extension under 35 U.S.C. 154 (b) (application filed after June 7, 1995 but prior to May 29, 2000)

The patent term extension is 0 days. Any patent to issue from the above identified application will include an indication of the 0 day extension on the front page.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)



United States Patent and Trademark Office

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| 29175 7 | 7590 08/13/2002 | | EXAMIN | EXAMINER | |
| BELL, BOYD & LLOYD, LLC P. O. BOX 1135 | | | VU, THONG H | | |
| CHICAGO, IL 60 | 690-1135 | | ART UNIT | PAPER NUMBER | |
| UNITED STATES | JNITED STATES | | 2152 | | |
| | | | DATE MAILED: 08/13/2002 | | |

Notice of Fee Increase on October 1, 2002

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2002, then the amount due may be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on October 1, 2002. See Revision of Patent and Trademark Fees for Fiscal Year 2003: Notice of Proposed Rulemaking, 67 Fed. Reg. 30634, 30636 (May 7, 2002). Although a change to the amount of the publication fee is not currently proposed for October 2002, if the issue fee or publication fee is to be paid on or after October 1, 2002, applicant should check the USPTO web site for the current fees before submitting the payment. The USPTO Internet address for the fee schedule is: http://www.uspto.gov/main/howtofees.htm.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of the fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after October 1, 2002 (or mailed with a certificate of mailing on or after October 1, 2002), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Effective October 1, 2002, 37 CFR 1.18 is proposed to be revised to change the patent issue fees as set forth below. As stated above, the final fees may be a different amount, and applicant should check the web site given above when paying the fee.

(a) Issue fee for issuing each original or reissue patent, except a design or plant patent:

By a small entity (Sec. 1.27(a))--\$655.00 By other than a small entity--\$1,310.00

(b) Issue fee for issuing a design patent:

By a small entity (Sec. 1.27(a))--\$235.00 By other than a small entity--\$470.00

(c) Issue fee for issuing a plant patent:

By a small entity (Sec. 1.27(a))--\$315.00 By other than a small entity--\$630.00

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

Page 4 of 4

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| | Application No. | Applicant(s) | | | | |
| | 00/000 770 | LIACHINA ET AL | | | | |
| Notice of Allowability | 08/992,770 Examiner | HACHIYA ET AL. | | | | |
| | | | | | | |
| | Thong H Vu | 2152 | | | | |
| The MAILING DATE of this communication All claims being allowable, PROSECUTION ON THE MERIT herewith (or previously mailed), a Notice of Allowance (PTOL NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATEM of the Office or upon petition by the applicant. See 37 CFR | S IS (OR REMAINS) CLOSED in85) or other appropriate common and RIGHTS. This application is second | n this application. If not included unication will be mailed in due course. THIS | | | | |
| 1. This communication is responsive to 5/02/02. | | | | | | |
| 2. X The allowed claim(s) is/are 1-24,49,52,54-70,93-98,1 | 05-115,117-122,124 and 125. | | | | | |
| 3. $\ \square$ The drawings filed on $___$ are accepted by the Exa | miner. | | | | | |
| Acknowledgment is made of a claim for foreign priorit a) ☐ All b) ☐ Some* c) ☐ None of the: | | | | | | |
| Certified copies of the priority documents | have been received. | | | | | |
| 2. Certified copies of the priority documents | have been received in Application | on No | | | | |
| 3. Copies of the certified copies of the priorit | y documents have been receive | d in this national stage application from the | | | | |
| International Bureau (PCT Rule 17.2(a |)). | | | | | |
| * Certified copies not received: | | | | | | |
| Acknowledgment is made of a claim for domestic prior | | | | | | |
| (a) The translation of the foreign language provisio | | | | | | |
| 6. Acknowledgment is made of a claim for domestic prior | ity under 35 U.S.C. §§ 120 and/ | or 121. | | | | |
| Applicant has THREE MONTHS FROM THE "MAILING DAT below. Failure to timely comply will result in ABANDONMEN | E" of this communication to file a T of this application. THIS THR | reply complying with the requirements noted EE-MONTH PERIOD IS NOT EXTENDABLE | | | | |
| 7. A SUBSTITUTE OATH OR DECLARATION must be INFORMAL PATENT APPLICATION (PTO-152) which gives | | | | | | |
| B. | sperson's Patent Drawing Revie | w (PTO-948) attached | | | | |
| (b) including changes required by the proposed drav | ving correction filed, which | ch has been approved by the Examiner. | | | | |
| (c) including changes required by the attached Exam | niner's Amendment / Comment o | r in the Office action of Paper No | | | | |
| Identifying indicia such as the application number (see 37 C of each sheet. The drawings should be filed as a separate p | FR 1.84(c)) should be written on to apper with a transmittal letter addr | he drawings in the top margin (not the back) essed to the Official Draftsperson. | | | | |
| 9. DEPOSIT OF and/or INFORMATION about the cattached Examiner's comment regarding REQUIREMENT FO | | | | | | |
| Attachment(s) | | | | | | |
| 1 Notice of References Cited (PTO-892) 3 Notice of Draftperson's Patent Drawing Review (PTO-94 5 Information Disclosure Statements (PTO-1449) Pager N | .8) 4∑Hnterviev | f Informal Patent Application (PTO-152) v Summary (PTO-413), Paper No | | | | |

U.S. Patent and Trademark Office

of Biological Material

7 Examiner's Comment Regarding Requirement for Deposit

8 Examiner's Statement of

9☐ Other

casons for Allowance

MARK H. RINEHART
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100

Application/Control Number: 08/992,770

Art Unit: 2152

#30F 8.30A Page 2 9/25/02

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

Claims 99-104,116 and 123 are canceled based upon the communication on 8/05/02 to Jeffrey H. Canfield (#38,404).

Claim 53 is canceled (paper # 28, May 13/02).

Claim 50 is canceled (paper # 20 May 07/02). Claim 51 is depended claim 50. Therefore claim 51 is canceled.

Claims 1-24,49,52,54-70,93-98,105-115,117-122,124-125 are allowable according to the Terminal Disclaimer file on 5/02/02.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to examiner Thong Vu, whose telephone number is (703)-305-4643. The examiner can normally be reached on Monday-Thursday from 8:00AM- 4:30PM.

Thong Vu

Art Unit 2152

MARK H. RINEHART
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2102